



GP/2131

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Applicants : David C. Applebaum
Serial No. : 09/981,410
Filed : October 17, 2001
Title : INFORMATION APPLIANCE AND USE OF SAME IN
DISTRIBUTED PRODUCTIVITY ENVIRONMENTS
Docket : BAT 0039 PA
Art Unit : 2131

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Assistant Commissioner for Patents
Washington, DC 20231

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Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents,
Washington, D.C. 20231, on January 17, 2002.

Thomas E. Lees

Reg. No. 46,867

Sir:

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.56, 1.97, AND 1.98

Applicant submits herewith patents, publications, and other information of which he is aware, which he believes may be material, as defined in 37 CFR §1.56(b), to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56(a). While the information referred to in this Information Disclosure Statement may be material pursuant to 37 CFR §1.56(b), the filing of this Information Disclosure Statement is not intended to, pursuant to 37 CFR §1.97(h), constitute an admission that any patent, publication, or other information referred to is, or is considered to be, material to the patentability of this invention. Further, pursuant to 37 CFR §1.97(g), the filing of this Statement should not be construed as a statement that a search has been made or that no other material information exists.

A listing of all items of information cited is attached on PTO/SB/08A, a substitute for form 1449A/PTO. Copies of the items are enclosed for the convenience of the Examiner.

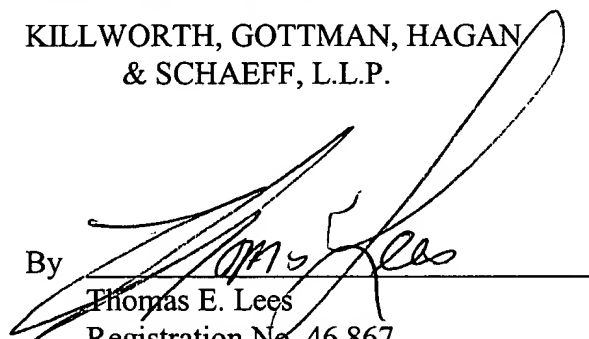
Serial No. 09/981,410
Docket No. BAT 0039 PA

This Information Disclosure Statement is being filed within the period set forth in 37 CFR §1.97(b) because it is filed within three months of the filing date of a national application or within three months of the date of entry of the national stage as set forth in 37 CFR §1.491 in an international application.

Respectfully submitted,

KILLWORTH, GOTTMAN, HAGAN
& SCHAEFF, L.L.P.

By



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995, no persons are required to register

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Substitute for form 1449A/PTO

Complete if Known

(use as many sheets as necessary)

Application Number	09/981,410
Filing Date	October 17, 2001
First Named Inventor	David C. Applebaum
Group Art Unit	2131
Examiner Name	
Attorney Docket Number	BAT 0039 PA 7

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U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		4,700,055		Kashkashian, Jr.	10-13-1987	
		4,739,295		Hayashi et al.	04-19-1988	
		4,797,542		Hara	01-10-1989	
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		5,530,232		Taylor	06-25-1996	
		5,557,780		Edwards et al.	09-17-1996	
		5,635,703		Tanaka	06-03-1997	
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		6,003,134		Kuo et al.	12-14-1999	
		6,005,942		Chan et al.	12-21-1999	
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		6,052,668		Takeuchi	04-18-2000	
		6,216,014		Proust et al.	04-10-2001	

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Examiner Signature		Date Considered	
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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